

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Petite, et al.

Serial No.: 09/925,270

Filed: August 9, 2001



Group Art Unit: 2661

Examiner: Unassigned

Docket No.: 081607-1170

For: SYSTEMS AND METHODS FOR ENABLING A MOBILE USER TO NOTIFY AN AUTOMATED MONITORING SYSTEM OF AN EMERGENCY SITUATION

PATENT

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or  
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement Under 37 C.F.R. 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(p).  
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Enclosed is a check in the amount of \$\_\_\_\_\_. Please charge \$\_\_\_\_\_ to deposit account \_\_\_\_\_. At any time during the pendency of this application, please charge any fees required to Deposit Account 20-0778 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 20-0778.

- ☒ Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.
- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney Docket No.  
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Serial No.  
09/925,270

Applicant  
Petite, et al.

Filing Date  
August 9, 2001

Group  
2661

## U.S. PATENT DOCUMENTS

| Examiner Initials | Item | Document Number | Date     | Name                | Class | Subclass | Filing Date If Appropriate |
|-------------------|------|-----------------|----------|---------------------|-------|----------|----------------------------|
|                   | 1    | 4,999,607       | 03/12/91 | Evans               | 340   | 539      |                            |
|                   | 2    | 5,438,329       | 08/01/95 | Gastouniotis et al. | 340   | 870      |                            |
|                   | 3    | 5,544,036       | 08/06/96 | Brown, Jr. et al.   | 364   | 145      |                            |
|                   | 4    | 5,572,438       | 11/05/96 | Ehlers et al.       | 364   | 492      |                            |
|                   | 5    | 5,583,850       | 12/10/96 | Snodgrass et al.    | 370   | 342      |                            |
|                   | 6    | 5,706,191       | 01/06/98 | Bassett et al.      | 364   | 138      |                            |
|                   | 7    | 5,748,104       | 05/05/98 | Argyroudis et al.   | 340   | 870      |                            |
|                   | 8    | 5,949,779       | 09/07/99 | Mostafa et al.      | 370   | 389      |                            |
|                   | 9    | 5,978,371       | 11/02/99 | Mason, Jr. et al.   | 370   | 389      |                            |
|                   | 10   | 5,986,574       | 11/16/99 | Colton              | 340   | 870.02   |                            |
|                   | 11   | 6,061,604       | 05/09/00 | Russ et al.         | 700   | 90       |                            |

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|  |  |                 |      |         |       |          | Yes         | No |
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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | 12 | Pending U.S. Patent Application entitled "System and Method for Monitoring and Controlling Residential Devices", Serial No. 09/704,150, Filed: November 1, 2000, Inventors: Thomas Petite & Richard Huff |
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|  | 13 | Pending U.S. Patent Application entitled "System for Monitoring Conditions in a Residential Living Community", Serial No. 09/271,517, Filed: March 18, 1999, Inventor: Thomas D. Petite                  |
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|  | 14 | Pending U.S. Patent Application entitled "System and Method for Monitoring and Controlling Remote Devices", Serial No. 09/439,059, Filed: November 12, 1999, Inventors: Thomas Petite & Richard Huff     |
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\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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09/925,270**INFORMATION DISCLOSURE CITATION**Applicant  
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2661**U.S. PATENT DOCUMENTS**

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|                   | 15   | 6,073,266       | 06/06/00 | Ahmed et al.      | 714   | 749      |                               |
|                   | 16   | 6,088,659       | 07/11/00 | Kelley et al.     | 702   | 62       |                               |
|                   | 17   | 6,100,817       | 08/08/00 | Mason, Jr. et al. | 340   | 870.2    |                               |
|                   | 18   | 6,121,593       | 09/19/00 | Mansbery et al.   | 219   | 679      |                               |
|                   | 19   | 6,163,276       | 12/19/00 | Irving et al.     | 340   | 870.4    |                               |
|                   | 20   | 6,215,404       | 04/10/01 | Morales           | 340   | 106.09   |                               |
|                   | 21   | 6,219,409       | 04/17/01 | Smith et al.      | 379   | 106.09   |                               |
|                   | 22   | 6,229,439       | 05/18/01 | Tice              | 340   | 506      |                               |
|                   | 23   | 5,630,209       | 05/13/97 | Wizgall et al.    | 455   | 66       |                               |
|                   | 24   | 5,689,229       | 11/18/97 | Chaco et al.      | 340   | 286.07   |                               |
|                   | 25   | 5,742,509       | 04/21/98 | Goldberg et al.   | 364   | 449.5    |                               |

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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, etc.).*

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|                   | 26   | 5,574,111       | 05/19/98 | Garcia         | 340   | 573      |                            |
|                   | 27   | 5,822,544       | 10/13/98 | Chaco et al.   | 395   | 202      |                            |
|                   | 28   | 5,838,223       | 11/17/98 | Gallant et al. | 340   | 286.07   |                            |
|                   | 29   | 5,838,237       | 11/17/98 | Revell et al.  | 340   | 573      |                            |
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